



9-21-05

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Terumasa Suyama et al.

Confirmation No.: 8294

Serial No.: 10/648,467

Examiner: C. Yang

Filing Date: August 26, 2003

Group Art Unit: 2635

Docket No.: 1018.1180101

Customer No.: 28075

For: LIGHTING SYSTEM

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314497393 US, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 20th day of September 2005.

By Kathleen L. Bookley
Kathleen L. Bookley

We are transmitting herewith the attached:

☐ Amendment

☐ No additional claim fee required

☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
				SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 25 =	\$	X 50 =	\$
INDEPENDENT CLAIMS	-	=		X 100 =	\$	X 200 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 180 =	\$	+ 360 =	\$
TOTAL				\$		\$	

☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[] A check in the amount of \$_____ is enclosed. Itemization:
Fee Code _____ \$
Fee Code _____ \$
Fee Code _____ \$

[XX] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE
OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE,
CERTIFICATION AND STATEMENT FOR INFORMATION DISCLOSURE
STATEMENT, FORM PTO-1449, AND 3 CITED FOREIGN REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to
Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



P A T E N T

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**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF
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By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office

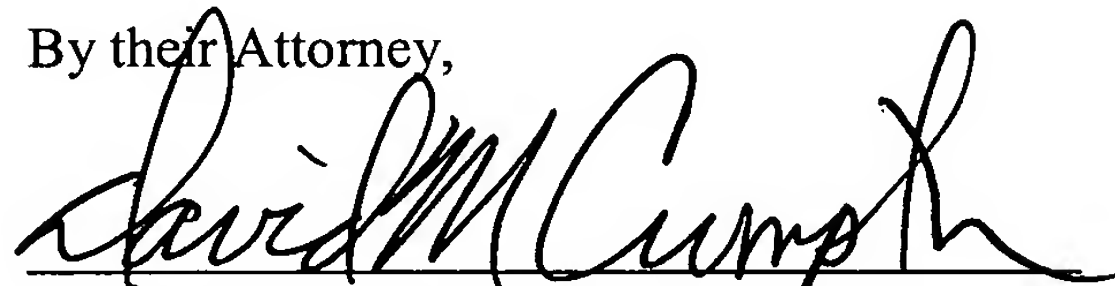
action on the merits, whichever event occurred last but before the mailing date of either a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first.

Accompanying this Information Disclosure Statement is a Certification and Statement under 37 C.F.R. § 1.97(e)(1).

Respectfully submitted,

Terumasa Suyama et al.

By their Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Date: 9/20/05



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**CERTIFICATION AND STATEMENT FOR
INFORMATION DISCLOSURE STATEMENT**

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By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

This Certification and Statement is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

STATEMENT

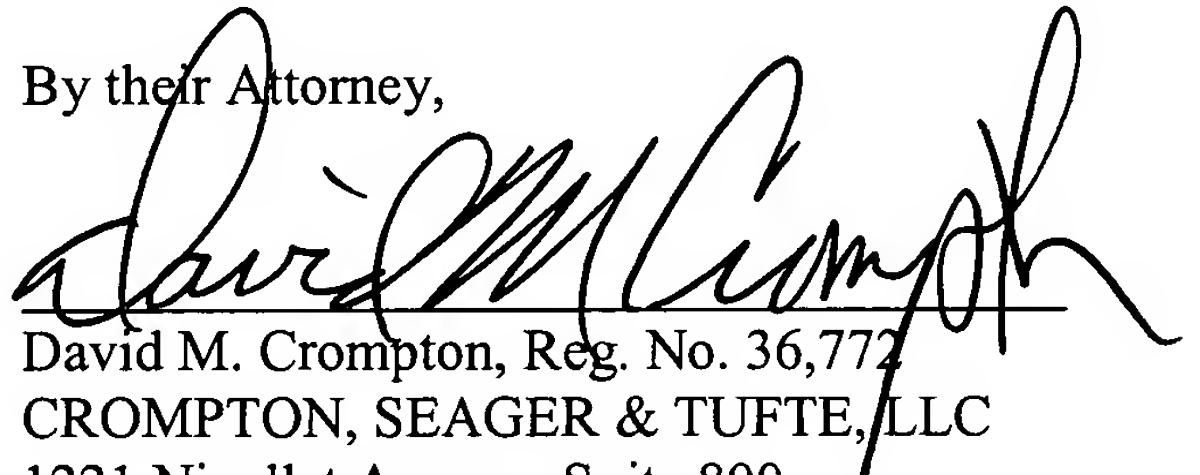
I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

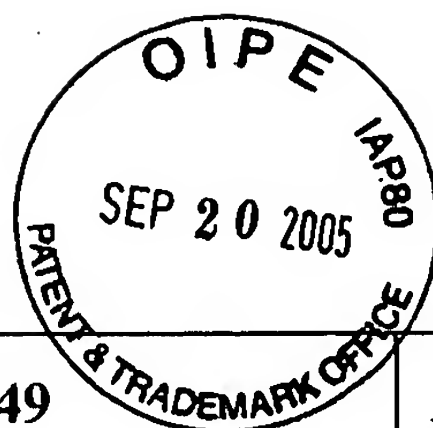
Terumasa Suyama et al.

By their Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", is written over a horizontal line.

David M. Crompton, Reg. No. 36,772
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Date: 9/20/05



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1018.1180101	Serial No.: 10/648,467
	Applicant: Terumasa Suyama et al.	
	Confirmation No.: 8294	
	Filing Date: August 26, 2003	Group Art: 2635

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2003/0210167 A1	11/13/2003	Weng	
AB	5,491,471	02/13/1996	Stobbe	
AC	5,969,637	10/19/1999	Doppelt et al.	
AD	6,023,224	02/08/2000	Meyvis	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
AE	DE 39 40 936 A1	06/13/1991	Germany	Abstract
AF	DE 100 64 141 A1	07/25/2002	Germany	Abstract
AG	DE 202 08 061 U 1	09/26/2002	Germany	see US 2003/0210167

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.